

## **The New York State Criminal History Record**

Executive Law Article 35 establishes the New York State Division of Criminal Justice Services (DCJS) as the central repository for criminal history record information.

DCJS provides criminal history reports, or “rap sheets,” in response to both criminal and non-criminal inquiries. Access to criminal history data depends on the authority of the requesting party. Each report contains information that is on file at DCJS, but the amount of information released depends on who made the request, the reason for the request and the status of the record being requested.

For example, under New York State regulations (9 NYCRR §6050.1), an individual may obtain a copy of his or her criminal history record information maintained at DCJS. The individual may receive either:

- A copy of his or her complete criminal history report containing all information related to that individual;
- A copy of the record that does not contain information that has been sealed or suppressed (hidden) because, for example, the outcome of the criminal case(s) was favorable to the individual; or
- A "no record" response indicating that there is no criminal history on file with the agency.

The following example of a rap sheet provides explanations and details about the information included.

A rap sheet can include criminal (arrests, convictions, etc.) and civil (employment, license or permit) data and may contain the following sections:

- Attention - Important Information
- Identification Information
- Summary Information
- NYS Criminal History Information, including:
  - Arrest/Charge Information
  - Court Case Information
  - Incarceration Information
  - Parole Information
  - Probation Information
  - Certificate of Relief
  - Other Information
- Other History Related Information
- Job/License Information
- Wanted Information
- Missing Person Information

## **Attention - Important Information**

This section includes banners that, when present, alert the recipient to certain information that is on the rap sheet and to the restrictions on the dissemination of such information. The following is an example of some available banners:

### **● Attention - Important Information**

\* See **Additional Information** at the bottom of this response for more banners pertaining to the criminal history

**Required to provide a DNA sample for inclusion in the State DNA databank. Call DCJS Office of Forensic Services at (518) 457-1901 during business hours for more information.**


**["DNA specimen collection guide"](#)**

**Currently under Sentence to NYS Department of Correctional Services. Call the Office of the Inspector General at (718)626-1710 during business hours of 7:00 AM to 7:00 PM Eastern Standard Time, Monday thru Friday. At any other time, please contact the Command Center, New York State Department of Correctional Services at (518)457-5902.**

**Violent Felony offense(s) on file**

## Identification Information

This section provides the name and other personal information of the subject of the rap sheet.

<b>Identification Information</b>			
	<b>Name:</b> SAM SAMPLE                      CARL PUBLIC JOHNNIE DOE                     SAMMY SAMPLE JOHNNIE DILLENGER          MICHAEL SAMPLE JOE ANYBODY                     SAMMY E HOSTNAME		
	<b>Date of Birth:</b> May 24, 1956    May 25, 1956    Jan 28, 1960 May 06, 1957    May 24, 1957		
	<b>Place of Birth:</b> New York                      Massachusetts    New Jersey		
	<b>Cycle 9</b> <b>Arrest Date July 06, 2006</b>		
<b>Address:</b> BLACK ROCK RD, KING FERRY, NY 16 KARNER RD, COLONIE, NY 29 BRUEN COURT, ORCHARD PARK, NY 345 ROUTE 20, DUANESBURG, NY 44 BEAVER ST, NEW YORK, NY 10004 534 MAXWELL ST, DEWITT, NY 9 STUYVESANT PLZ, ALBANY, NY BLACK ROCK RD, KINGS FERRY, NY			
<b>Sex:</b> Male/Unknown	<b>Race:</b> White	<b>Ethnicity:</b> Hispanic	<b>SkinTone:</b> Light
<b>Eye Color:</b> Hazel	<b>Hair Color:</b> Blonde	<b>Height:</b> 5' 07"	<b>Weight:</b> 160
<b>SSN:</b> 099-99-9992    099-99-9991    099-99-9994			
<b>NYSID#:</b> 05870633R	<b>FBI#:</b> 9003300	<b>Probation Client ID#:</b> 1690020	<b>NCIC Classification:</b> CIPO1217131611121314
<b>III status:</b> Criminal record in other states or in multiple FBI files for NYS			
<b>US Citizen:</b>			

**Date of Birth** could include multiple dates.

**Place of Birth** is the place where the individual was born.

**Address** may list multiple addresses.

**Sex, Race, Ethnicity and Skin Tone** indicate information most often reported. However, if multiple sexes are reported, all reported sexes will be shown; if multiple races, ethnicities and skin tones are equally reported, more than one entry will appear.

**Eye Color, Hair Color, Height and Weight** indicate information most recently reported.

**SSN** (Social Security number) may list more than one number.

**NYSID #** (New York State Identification Number) is a unique number assigned by DCJS to an individual with criminal and/or civil New York State history. An individual may have more than one NYSID number if all previous histories are sealed.

**FBI #** (Federal Bureau of Investigation Number) is the primary identification and file number assigned by the FBI.

**Probation Client ID #** is an identification number attached, if applicable, to an individual's probation supervision history.

**NCIC Classification #** is the National Crime Information Center fingerprint classification number.

**III Status** (Interstate Identification Index status) indicates whether or not there is information available in states other than New York.

**US Citizen** indicates whether or not the subject is a US citizen.

**Note:** Any information associated only with sealed records will not display unless the release of the sealed data is permitted by law. However, an individual is eligible to receive a copy of his or her own record that contains sealed information.

## Summary Information

This section provides a summary of the individual's criminal history. Arrests that occurred prior to 1972 can be found in the "Other Information" section of the rap sheet.

<b>Summary Information</b>			
<b>Name:</b>	SAM SAMPLE	<b>Total Arrests:</b>	6
<b>Date of Earliest Arrest:</b>	March 10, 1998	<b>Date of Last Arrest:</b>	July 06, 2006
<hr/>			
<b>Total Arrests Charges:</b>	11	<b>Total Convictions:</b>	2
<b>Felony:</b>	3	<b>Felony:</b>	1
<b>Violent Felony:</b>	3	<b>Violent Felony:</b>	1
<b>Firearm:</b>	1	<b>Firearm:</b>	0
<b>Misdemeanor:</b>	8	<b>Misdemeanor:</b>	1
<b>Other:</b>	0	<b>Other:</b>	0
		<b>YO Adjudication(s):</b>	1
<hr/>			
<b>Warrant Information:</b>		<b>Revocation Counts:</b>	
<b>Failure to Appear Counts:</b>	3	<b>Probation:</b>	1
<b>Open Warrants:</b>	1	<b>Parole:</b>	0
		<b>Miscellaneous:</b>	
		<b>Escape Charges:</b>	0
		<b>Sex Offender Convictions:</b>	1

**Name** represents the most often reported name of the subject.

**Total Arrests/Charges** represent the number of all arrest charges, such as felonies (including violent felony and firearm arrests/charges), misdemeanors and other arrest charges, such as violations, infractions and charges with an unknown category type.

**Total Convictions** reflect the number of all convictions, such as felonies (including violent felonies and firearm convictions), misdemeanors and other convictions, such as violations, infractions and convictions with an unknown category type. **Note:** youthful offender adjudications, if permitted to be released, are shown in the conviction column, but are not included as part of the total conviction count. The conviction is vacated and replaced with a youthful offender adjudication.

**Total Open Charges** reflects the number of all felony, misdemeanor and other (violations, infractions) open charges. An arraignment charge is considered an open charge if a court disposition has not been reported for that charge. All arrest charges are considered open if there are no arraignment charges and no final disposition. If there is a final disposition, all arrest charges are considered closed. **Note:** a person may have been arraigned on charges that are different from those for which he or she was arrested.

**Sex Offender Convictions** are based on convictions requiring registration as a sex offender under the New York State Sex Offender Registration Act (Correction Law, Article 6-C).

### **New York State Criminal History Information**

This section displays criminal events or “cycles” maintained in DCJS records. Each cycle refers to when a person was fingerprinted.

#### **Arrest/Charge Information**

Arrest charges are reported by the arresting agency and usually are supported by an arrest fingerprint transmission. Charges that are arraigned and disposed by the court are reported under “Court Case Information.”

<b>Arrest/Charge Information</b>	
<b>Arrest Date: July 06, 2006 08:00 pm (20:00:00)</b>	
<b>Name:</b>	SAM SAMPLE
<b>Date of Birth:</b>	January 28, 1960
<b>Sex:</b>	Male
<b>Ethnicity:</b>	Hispanic
<b>SSN:</b>	099-99-9992
<b>Age at time of crime/arrest:</b>	46
<b>Address:</b>	BLACK ROCK RD, KING FERRY, NY
<b>Fax Number</b>	73154
<b>Place of Arrest:</b>	New York County, NY
<b>Date of Crime:</b>	July 06, 2006
<b>Place of Crime:</b>	New York County, NY
<b>Criminal Justice</b>	
<b>Tracking No.:</b>	30622109J
<b>Arresting Agency:</b>	<a href="#">NYCPD PCT 030</a>
<b>Arresting Officer ID:</b>	874981
<b>Arrest Number:</b>	M06999923
<b>Arrest Charges:</b>	
-- Criminal Possession Of A Weapon- 2nd Degree	
PL 265.03	Class C Felony Degree 2 NCIC 5212
-- Attempted Criminal Sexual Act-1st Degree: By Forcible Compulsion	
PL 130.50 Sub 01	Class C Felony Degree 1 NCIC 1199

“No Arrest Reported” will appear in place of the arrest information when the arresting agency did not submit the arrest.

If special circumstances apply to this arrest, there will be additional information below the cycle number, and before the arrest date, which may include the following:

- **Cycle may not be supported by fingerprints** - This arrest does not have fingerprints on file to support the data.
- **Violent Felony Offense** - This cycle contains a charge which is classified as a violent felony, as defined in New York State Penal Law Article 70.02. The charge may be an arrest, arraignment, and disposition or institution admission charge.

- **Domestic Incident Report Filed** - There was a Domestic Incident Report filed at the time of the arrest. This is not an Order of Protection.
- **Youthful Offender** - This cycle contains a youthful offender (YO) adjudication finding by the court.
- **Juvenile Offender** - The charges at the time of arrest are considered juvenile offender (JO) charges.
- **Juvenile Delinquent** - The charges at the time of arrest are considered juvenile delinquent (JD) charges.

### Court Case Information

Court information appears directly below the arrest/charge information for the related arrest.

**Court** is the name of the court where the case was heard.

**Case Number** is used for identifying a docket number in local courts and an indictment number or superior court information number in superior courts.

-- <b>Court:</b> <a href="#">New York County Supreme Court</a> <b>Case Number:</b> 2006-3452			
<b>Initial Report Of Indictment Number</b> August 01, 2006			
<b>Convicted Upon Plea Of Guilty</b> August 27, 2006			
-- Attempted Criminal Sexual Act-1st Degree: By Forcible Compulsion			
PL 130.50 Sub 01	Class C	Felony	NCIC 1199
<b>In Full Satisfaction of:</b>			
-- Criminal Possession Of A Weapon- 2nd Degree			
PL 265.03	Class C	Felony	NCIC 5212
<b>Sentenced to:</b>	Term: 1 Year(s) to 3 Year(s)		Sentence Date: August 27, 2006

The disposition is the action taken by the court and the date of that action. Rap sheet recipients need to be aware that disposition data is always being updated. Courts report dispositions electronically to DCJS. If a disposition has not been reported to DCJS, "No Disposition Reported" will appear instead of the court information.

The charges listed above are arraignment and disposition charges. They are different than arrest charges because they refer to charges that are prosecuted. Charges that are dismissed as a result of a plea agreement may be listed here following, "In Full Satisfaction of," and charges that are reduced from another charge may be listed here following, "Reduced from."

The sentence and date sentenced will be displayed at the end.

If an arrest and disposition resulted in an outcome favorable to the defendant, the rap sheet may look as follows:

**Court Case Information**

-- Court: [Guilderland Town Court](#) Case Number: 98001

**Arraigned** March 10, 1998

-- Criminal Tampering-2nd Degree  
PL 145.15 Class A Misdemeanor NCIC 2999

**Dismissed** March 10, 1998

-- Criminal Tampering-2nd Degree  
PL 145.15 Class A Misdemeanor NCIC 2999

**Sealed Upon Termination Of Criminal Action In Favor Of The Accused CPL160.50** November 16, 1998

Favorable outcomes are sealed pursuant to New York State Criminal Procedure Law (CPL) §§160.50, and 160.55, and conditionally sealed pursuant to CPL 160.58. This is displayed at the end of the court case that is sealed.

In all cases, except for marijuana charges adjourned in contemplation of dismissal pursuant to CPL 170.56, the fingerprint card supporting the arrest is destroyed when an action is "Sealed in Termination of a Criminal Action in Favor of the Accused CPL 160.50". If there are multiple court cases for a cycle, all cases must result in a seal in order for the entire cycle to be sealed and the fingerprints destroyed.

These sealed arrests and dispositions would not be included in the rap sheets, unless release of the information is authorized by law or an individual chooses to obtain a copy of his or her own criminal history record with those sealed arrests and dispositions included.



## Incarceration Information

Local jails and the New York State Department of Corrections and Community Supervision (DOCCS), which operates the state's prisons, report incarceration admissions to DCJS when a sentenced person is first admitted to a facility. DOCCS also reports to DCJS when the inmate is released from the facility, and as appropriate, if the inmate is returned to the facility upon a parole violation.

<b>Incarceration/Supervision Information</b>	
<b>Incarceration Admission Information</b>	
<b>Admission Date:</b>	September 01, 2006
<b>Admission Reason:</b>	New Commitment
<b>Agency:</b>	<a href="#">NYSDOCS Elmira Reception Center</a>
<b>State Inmate ID No.:</b>	66B1300
<b>Sentence to:</b>	Term: 1 Year(s) to 3 Year(s)
<b>Max Expiration Date:</b>	September 01, 2009
<b>Conditional Release Date:</b>	September 02, 2007
<b>Inmate Name:</b>	SAM SAMPLE
<b>Admission Charges:</b>	
--	Attempted Criminal Sexual Act-1st Degree: By Forcible Compulsion
PL 130.50 Sub 01	Class C      Felony Degree 1      NCIC 1199

**Admission Date** is the date that the individual is admitted to local jail or state prison.

**Admission Reason** is the reason for admission.

**Agency** is the entity reporting the initial admission information. **Note:** DOCCS does not report when an inmate is transferred from one prison to another.

**State Inmate ID No.** is the number assigned by DOCCS or the local facility. It is often referred to as the "DIN" Number.

**Sentence to** refers to the period of incarceration as decided by the court at the time of sentencing.

**Max Expiration Date** is the last date on which the inmate will complete the full term of his or her sentence.

**Conditional Release Date** is the earliest date on which an individual must be released from incarceration.

**Release Date** is the date when the individual is released from incarceration.

**Release Reason** is the reason for release from incarceration.

## Parole Information

DOCCS reports data electronically to DCJS at various stages of the supervision process, including when an individual is released from prison and placed on parole; when the individual completes his or her parole term and is discharged; or when parole is revoked for some reason (for example, commission of another offense).

**Note:** An individual also may be sentenced directly to parole supervision by the court without first being incarcerated. The area of assignment will be listed as "Willard." If a disposition is present in the event, the sentence area should read, "Parole Supervision Sentence."

<b>Parole Release Information</b>	
<b>Received by Parole on:</b>	February 15, 1987
<b>Release Type:</b>	Initial Release to Parole
<b>Max Expiration Date:</b>	June 01, 1989
<b>Supervision Office:</b>	Manhattan I
<b>Parole ID Number:</b>	86B1300
<b>Name:</b>	CARL PUBLIC
<b>Parole Discharge Information</b>	
<b>Discharged from Parole on:</b>	June 10, 1987
<b>Discharge Type:</b>	Discharged - Statutory Action
<b>Parole ID Number:</b>	86B1300

**Received by Parole on** is the date the individual was released from prison and placed on parole.

**Release Type** is the reason for release to parole.

**Max Expiration Date** is the last date on which the individual will complete his/her parole term.

**Supervision Office** is the area to which the individual on parole is assigned.

**Parole ID Number** is the same number as the Inmate ID Number.

**Discharge from Parole on** is the date the individual was discharged from parole.

**Discharge Type** is the reason the individual is no longer under parole supervision.

## Probation Information

This information is reported electronically by probation departments as shown below:

<b>Probation Information</b>	
<b>Name:</b>	JOHNNIE DILLENGER
<b>Address:</b>	44 BEAVER ST, NEW YORK, NY 10004
<b>Sex:</b>	Male
<b>Race:</b>	White
<b>Ethnicity:</b>	Not Hispanic
<b>Placed on Probation:</b>	July 02, 2006
<b>Max Expiration Date:</b>	July 02, 2009
<b>Supervision Agency:</b>	<a href="#">Broome County Probation</a>
<b>Jurisdiction Agency:</b>	<a href="#">Kings County Probation Adult Supervision- Reporting</a>
<b>Probation Registration Number:</b>	3057004
<b>Probation Discharge Date:</b>	August 27, 2006
<b>Discharge Type:</b>	Revoked - Technical Violation

**Placed on Probation** is the date an individual is placed on probation.

**Maximum Expiration Date** is the last date on which the individual will complete his or her probation term.

**Supervision Agency** is the county probation department to which the individual reports.

**Jurisdiction Agency** is the probation department having legal responsibility for the probationer. In some instances, an individual may be supervised by another county because of his or her residence, or other reasons, but jurisdiction was retained by the judge in the county where the individual was sentenced.

**Probation Officer ID** identifies the officer to whom the individual reports.

**Probation Registration Number** is the number used to identify a supervision case. If an individual has multiple probation sentences, there will be a unique number for each one.

**Probation Case Number** is the number identifying the individual who transferred to New York as an out-of-state transfer case.

**Probation Discharge Date** is the date when the individual is no longer under probation supervision.

**Discharge Type** is the reason individual is no longer under probation supervision.

Certificate of Relief (from Civil Disabilities)

A Certificate of Relief from Civil Disabilities may be issued by the court or by DOCCS to an individual who has been convicted of any number of lesser offenses and up to one felony (Correction Law §§ 701-703).

The purpose of the Certificate of Relief is to relieve the individual of any forfeiture or disability, such as removing a bar to his or her employment that was automatically imposed upon his or her conviction for a single arrest, except for the right of a person to retain or to be eligible for public office.

The Certificate of Relief does not restrict any judicial, administrative, licensing or other body, board or authority from lawfully relying upon the conviction detailed in the certificate as the basis for exercising its discretionary power to suspend, revoke, refuse to issue or refuse to renew any licenses, permit, or other authority or privilege.

Granting a Certificate of Relief does not remove the underlying conviction from the offender's criminal record and does not result in the case being sealed.

**Certificate of Relief**

**Agency:** [New York County Supreme Court](#)  
**Date:** June 10, 1987  
**Permanency Date:** December 10, 1990  
**Issue Type:** A  
**Relief Description:** Relieves holder of all forfeitures, all disabilities and bars to employment, excluding right to retain or be eligible for public office; certificate issued at time of sentence.

The certificate can be modified or revoked at any time between the date issued and the **Permanency Date**. After the **Permanency Date**, the certificate can no longer be altered or revoked.

Other Information

This section contains New York State arrest, disposition, incarceration and supervision information that was recorded prior to Feb. 1, 1972. These events are not included in the "Summary Information" section of the rap sheet and do not have as much detailed information as the events appearing in the "Criminal History Information" section of the rap sheet.

**Other Information**

**Date:** December 30, 1971

**Arrest or Charge Data:** NYPD TRANSIT- PL 165.15 OBTAIN  
TRANS W/O PAY

**Disposition or Correction Data:** 12/30/71 FINE 15 OR 2 DAYS

## Other History-Related Information

This refers to information about the individual who is the subject of the rap sheet that does not pertain to a specific cycle. Data under this heading may pertain to, but is not limited to, Certificates of Good Conduct.

A Certificate of Good Conduct may be issued by DOCCS to relieve an individual of any disability or bar to employment (Correction Law §§703-a, 703-b). It may be limited to one or more listed disabilities or bars, or may relieve the individual of all disabilities and bars. The individual must have demonstrated a minimum period of good conduct in the community of one year for a misdemeanor conviction, three years for a Class C, D or E felony conviction, and five years for a Class A or B felony conviction.

Granting of a Certificate of Good Conduct does not remove the underlying conviction. It does not prevent a prospective employer or licensing agency from lawfully deciding to refuse employment, or to refuse a license. However, the issuance of a Certificate creates a presumption of rehabilitation in connection to the offense or offenses it covers for license or employment purposes.

### ● **Other History Related Information**

#### **Good Conduct Information**

**Name:** SAM SAMPLE

**Agency:** [NYS Division of Parole- Albany Quality Control Unit](#)

**Issue Date:** October 15, 1987

**Permanency Date:** January 01, 2000

TO REMOVE ALL LEGAL BARS AND DISABILITIES TO EMPLOYMENT, LICENSE AND PRIVILEGE EXCEPT THOSE IMPOSED BY SECTIONS 265.01 (4) AND 400.00 OF THE PENAL LAW AND EXCEPT THE RIGHT TO HOLD PUBLIC OFFICE.

The **Issue Date** is the date on which the certificate was issued. The Certificate can be modified or revoked at any time between the date of issuance and the **Permanency Date**. After the **Permanency Date**, the certificate can no longer be altered or revoked.

## Job/License Information

This section of the rap sheet contains information about the individual pertaining to job or license applications that required him or her to undergo a fingerprint-based criminal history background check. This information is not related to criminal activity.

The appearance of job or license information on the rap sheet **does not** mean that the individual was hired or the license was granted.

However, in the case of a pistol license (permit), the rap sheet will include the date that the pistol permit was granted if the local licensing official provided that information to DCJS.

**● Job/License Information**

**Civil Information**

**Type of Application:** Security Guard Registrant  
**Comments:** THREE BLIND MICE SECURITY COMPANY  
**Name:** CARL PUBLIC  
**Address:** 29 BRUEN COURT, ORCHARD PARK, NY  
**Date of Birth:** May 06, 1957  
**SSN:** 099999991  
**Agency ID:** 730101  
**Date of Application:** February 29, 1988  
**Application Agency:** [NYS Department of State- Licensing Division](#)  
**Application Number:**730101

**Wanted Information**

If criminal history is located, wanted records containing the New York State Identification Number (NYSID#) will be returned. If no information is returned, the rap sheet will contain the following message:

**● Wanted Information**

**There is no NYS Wanted Information associated with this history.**

**Missing Person Information**

If no information is returned, the rap sheet will contain the following message:

**● Missing Person Information**

**There is no NYS Missing Person Information associated with this history.**